

## CIRCULAR

---

### NSE

[List of Securities moving to Stage III of Graded Surveillance Measure \(GSM\)](#)  
[Status of Directors/Partners in case of Expulsion/declaration of Default of Trading Member](#)  
[CBRICS-Settlement Calendar for April 2021](#)  
[Face Value Split - ETFs of UTI Mutual Fund](#)  
[Buyback Offer under Tender Offer Scheme by Bharat Rasayan Limited](#)  
[Applicability of Additional Surveillance Measure \(ASM\)](#)  
[Applicability of Short-Term Additional Surveillance Measure \(ST-ASM\)](#)  
[List of Securities moving to Stage I of Graded Surveillance Measure \(GSM\)](#)  
[SEBI order in the matter of M/s Profit Money Advisor](#)  
[Applicability of Additional Surveillance Measure \(ASM\)](#)  
[Applicability of Short-Term Additional Surveillance Measure \(ST-ASM\)](#)  
[UTI Mutual Fund - UTI Nifty Next 50 Exchange Traded Fund - UTI NIFTY N \(UTINEXT50\) - Face Value Split](#)  
[SEBI Order in the matter of Prime Plantations Pvt. Ltd. and others](#)  
[List of Securities moving to Stage II of Graded Surveillance Measure \(GSM\)](#)  
[SEBI Order in the matter of Sunstar Realty Development Limited](#)  
[SEBI Order in the matter of Nouveau Global Ventures Ltd.](#)  
[Restoration of trading rights of the member-Stampede Capital Limited](#)  
[Implementation of Section 51A of UAPA,1967 -Updates to UNSC's 1267/1989 ISIL \(Da'esh\) & Al-Qaida Sactions List](#)  
[NOTIS Application](#)  
[Revised List of Approved Securities and Approved Banks](#)  
[Applicability of Short-Term Additional Surveillance Measure \(ST-ASM\)](#)  
[List of Securities moving to Stage II of Graded Surveillance Measure \(GSM\)](#)  
[Trade for Trade](#)  
[SEBI Order in the matter of Birla Power Solutions Limited](#)

### BSE

[Applicability of Event based Additional Surveillance Margin \(E-ASM\)](#)  
[Order in the matter of M/s Profit Money Advisor](#)  
[SMS and Email to Seller Investor for Buyback of Shares from Open Market route](#)  
[Corporate Action: - BHARAT PETROLEUM CORPORATION, TVS MOTOR COMPANY, NMDC -SLB](#)  
[Launch of New Fund Offer of SBI Mutual Fund on BSE StAR MF Platform](#)  
[Availability of DSP FLOATER FUND for ongoing transactions & SIP facility on BSE StAR MF Platform](#)  
[Graded Surveillance Measure \(GSM\) - List of securities moving into their respective GSM Stages](#)  
[Applicability of Short Term Additional Surveillance Measure \(ST-ASM\)](#)  
[ORDER IN THE MATTER OF PRIME PLANTATIONS PRIVATE LIMITED AND OTHERS](#)  
[Applicability of Additional Surveillance Measure](#)  
[TRADING AND SETTLEMENT PROGRAMME FOR CASH SEGMENT FOR THE PERIOD FROM 01.04.2021 TO 30.04.2021](#)

[SETTLEMENT PROGRAMME FOR THE F & O SEGMENT FOR THE PERIOD FROM 01.04.2021 TO 30.04.2021](#)  
[TRADING AND SETTLEMENT PROGRAMME FOR NEW DEBT SEGMENT \(NDS\) FOR PERIOD FROM 01.04.2021 TO 30.04.2021](#)

[OFS Segment - Placing of price bids by Retail Investors \(on T+1 Day\) below Cut-off Price Not Allowed](#)

[Launch of NFO with SIP facility of ICICI Prudential Mutual Fund on BSE StAR MF Platform](#)

[Applicability of Event based Additional Surveillance Margin \(E-ASM\)](#)

[Listing of further securities issued by the companies under ESOP/ESOS](#)

[Graded Surveillance Measure \(GSM\) - List of securities moving into their respective GSM Stages](#)

[Applicability of Additional Surveillance Measure](#)

[Applicability of Short Term Additional Surveillance Measure \(ST-ASM\)](#)

[New ISIN Numbers of UTI Mutual Fund.](#)

[Availability of IDFC GILT 2027 INDEX FUND for ongoing transactions & SIP facility on BSE StAR MF Platform](#)

## **MCX**

[Transaction Fees on Futures Contracts](#)

[Revision in Fees](#)

[Regional Webinar on Commodity Derivatives](#)

[Revision in Daily Price Limits \(DPL\) for Commodity Futures Contracts](#)

[System Audit of Trading Member](#)

[Cyber Security & Cyber Resilience Audit 2020-21](#)

[Delivery & Invoices Settlement Schedule for April 2021 expiry contracts](#)

[Declaration as a Defaulter and Expulsion](#)

[Pre - Expiry Margin on commodities under Alternate Risk Management Framework](#)

[Pre - Expiry Margin on commodities under Alternate Risk Management Framework](#)

## **ICEX**

[DEACTIVATION OF TRADING RIGHTS](#)

[INTRODUCTION OF NEW PAYMENT MODE – UPI ON ICEXMF PLATFORM.](#)

[SUBMISSION OF DATA TOWARDS 'CLIENT LEVEL CASH & CASH EQUIVALENT BALANCES' AND 'HOLDING STATEMENT'](#)

[REPORTING FOR ARTIFICIAL INTELLIGENCE \(AI\) AND MACHINE LEARNING \(ML\) APPLICATIONS AND SYSTEMS OFFERED AND USED BY MARKET INTERMEDIARIES](#)

## **NCDEX.**

[Submission of Trading member wise Cash and cash equivalent details by Clearing members](#)

[Applicability of Event based Additional Surveillance Margin - E-ASM](#)

[Reporting for Artificial Intelligence \(AI\) and Machine Learning \(ML\) applications and systems offered and used by market intermediaries](#)

[Applicability of Event based Additional Surveillance Margin - E-ASM](#)

[Review of Volatility Scan Range \(VSR\) for Option contracts in Commodity Derivatives Segment](#)

[Cyber Security and Cyber Resilience Audit by the Members of the Exchange](#)

## **NSDL**

[2021-0028-Policy-Clarification on downloading of the KYC Documents of Cl...](#)

## **NSE IFSC**

[Revision in Expiry day timings due to Daylight saving time](#)

## **INDIA INX**

[Settlement schedule for the period 01.04.2021 to 30.04.2021](#)

## **SEBI**

[Guidelines for Business Continuity Plan \(BCP\) and Disaster Recovery \(DR\) of Market Infrastructure Institutions \(MIIs\)](#)

[Review of Delivery Default Norms](#)

[Disclaimer: We will not be responsible for any usage of it or any reproduction or any losses arising out of usage of the extracts of the circulars from various exchanges. All being a compilation done to read it at one place for details of circulars, all are requested to go to the website of the respective regulators or exchanges which shall be final and we shall not be responsible at any level.](#)